

9/27/06

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	10/678,299
		Filing Date	October 6, 2003
		First Named Inventor	Shunpei YAMAZAKI et al.
		Art Unit	2814
		Examiner Name	Gene M. Munson
Sheet 1 of 2	Attorney Doctet Number		740756-2662

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		<del>Specification, claims and drawings of U.S. Application No. 09/101,083, filed on July 8, 1998.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 11/497,145, filed on April 20, 2006.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 11/074,687, filed on March 9, 2005.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 11/058,033, filed on October 27, 2005.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 10/547,703, filed on January 12, 2004.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 11/058,033, filed on October 27, 2005.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 09/432,663, filed on November 3, 1999.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 09/508,185.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 09/587,396.</del>	
		<del>Specification, claims and drawings of U.S. Application No. 11/070,840, filed March 14, 2005.</del>	

considered via  
present application and  
common references cited  
by applicants

considered via  
correspond US 6,355,942

filed after 6/04/1999

Examiner Signature	G. MUNSON	Date Considered	27 OCTOBER 2006
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinda Codes of (USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

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U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patent or Applicant of Claim Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		<del>US 6,977,994</del>	<del>12-12-2003</del>	<del>Yamazaki et al.</del>	
		<del>US 6,965,195</del>	<del>11-15-2005</del>	<del>Yamazaki et al.</del>	
		<del>US 6,426,517</del>	<del>07-30-2000</del>	<del>Hayakawa et al.</del>	
		<del>US 6,374,897</del>	<del>02-14-2001</del>	<del>Yamazaki et al.</del>	
		<del>US 6,720,966</del>	<del>05-04-2004</del>	<del>Koyama</del>	
		<del>US 6,304,538</del>	<del>03-30-2001</del>	<del>Yamazaki et al.</del>	
		<del>US 6,166,207</del>	<del>12-26-2000</del>	<del>Yamazaki et al.</del>	
		<del>US 6,703,643</del>	<del>03-09-2004</del>	<del>Yamazaki et al.</del>	
		<del>US 6,011,607</del>	<del>01-04-2000</del>	<del>Yamazaki et al.</del>	
		<del>US 2004/0196220</del>	<del>10-07-2004</del>	<del>Kimura et al.</del>	
		<del>US 6,346,179 B1</del>	<del>06-13-2001</del>	<del>Yamada</del>	
		<del>US 6,419,645 B1</del>	<del>07-02-2002</del>	<del>Greff et al.</del>	

} filed after 6/04/1999  
 considered via  
 correspond us 6,124,539  
 } filed after 6/04/1999  
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 correspond (cvc) us 6,013,930  
 cvc us 5,895,935  
 } cvc us 6,355,942  
 cvc us 6,582,137  
 } filed after 6/04/1999

FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patent or Applicant of Claim Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear	1*
		Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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		<del>TSUTSUMI ET AL., "HIGH QUANTUM EFFICIENCY IN ORGANIC LIGHT EMITTING DEVICES WITH IRIIDIUM COMPLEX AS A TRIPLET EMISSIVE CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1302-L1304, December 15, 1999.</del>		
		<del>BALDO ET AL., "VERY HIGH EFFICIENCY GREEN ORGANIC LIGHT EMITTING DEVICES BASED ON ELECTROPHOSPHORESCENCE," Applied Physics Letters, Vol. 75, No. 1, pp. 4-6, July 8, 1999.</del>		

} published  
 after 6/04/1999

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

English translation of priority Japanese application 11-158787 (filed 6/04/1999)

pursuant to 35 U.S.C. 119 was filed in parent application SN 09/578,895.

On copy of 2/24/06 IDS, crossed through Japanese reference was considered via corresponding US 5,151,632 rather than "5,515,632" which was a misprint.

10/18/06

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		Number - Kind Code <sup>3</sup> (if known)			
		US-5,317,236		05-31-1994	Zavracky, et al.
		US-6,204,535-B1		03-20-2001	Yamazaki et al.
		US-6,412,645-B1		07-02-2002	Orloff et al.
		US-6,531,712-B4		03-11-2003	Yamazaki
		US-6,572,564-B2		06-03-2003	Yamazaki et al.
		US-6,754,675-B1		09-21-2004	Suzuki et al.
		US-6,863,961-B2		03-08-2005	Miyashita, et al.
		US-6,940,214-B1		09-06-2005	Komiyama et al.

considered via correspond US 6,012,930  
 } filed after 6/04/1999  
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 } filed after 6/04/1999  
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>2</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patent or Applicant of Chd Document	Page, Column, Line, Where Relevant Passage or Relevant Figure Appears
		Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP-2000-077191		03-14-2000	Yamazaki et al.	Abstract
		EP-0 900 505-A3		05-10-2000	Yamazaki et al.	Abstract
		EP-0 940 487-A1		09-15-1999	Kimura	Full

} published after 6/04/1999

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		TSUTSUMI ET AL., "HIGH-QUANTUM EFFICIENCY IN ORGANIC LIGHT-EMITTING DEVICES WITH IRIIDIUM COMPLEX AS A TRIPLET EMISSION CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1502-L1504, December 15, 1999.	
		Specification, claims and drawings of U.S. Application No. 09/580,485, filed on May 30, 2000.	
		Specification, claims and drawings of U.S. Application No. 09/587,340, filed on June 3, 2000.	

published after 6/04/1999

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